

DECLARATION



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

the specification of which (I authorize Choate, Hall & Stewart to check one of the following, three choices, and fill in the blanks, if applicable):

___ is attached hereto

X was filed on March 24, 2000 as Application
Serial No. 09,535,300 and amended on _____ (if applicable).

_____ was filed as PCT international application No. _____,
on _____ and was amended under PCT Article 19
on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year/Filed)	Yes	No
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_____ (Number)	_____ (Country)	_____ (Day/Month/Year/Filed)	Yes	No
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed

below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/253,153</u>	<u>2/19/99</u>	<u>Pending</u>
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)



PCT Applications designating the United States:

_____	_____	_____
(PCT Appl. No.)	(U.S.S.N.)	(status-patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

<u>60/075,629</u>	<u>2/21/98</u>	<u>Abandoned</u>
Application Number	Filing Date	Status

_____	_____	_____
Application Number	Filing Date	Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of first inventor: Alan W. Schwabacher

Inventor's signature: *Alan W. Schwabacher* Date: 5/12/00

Residence: 4060 North Farwell Avenue, Shorewood, Wisconsin 53211-0413

Citizenship: USA

Post Office Address: Same as above

Full name of second inventor: Peter Gëissinger

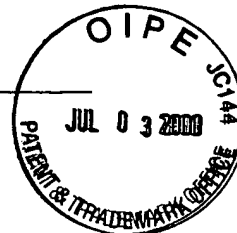
Inventor's signature: *Peter Gëissinger*

Date: May 12, 2000

Residence: 4110 North Farwell Avenue, Shorewood, Wisconsin 53211

Citizenship: Germany

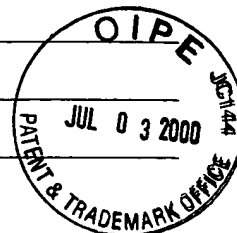
Post Office Address: Same as above



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on June 30, 2000

Marco M. Mattasio

Applicant or Patentee: Alan W. Schwabacher et al.Serial or Patent No.: 09/535300Filed or Issued: 3-24-2000For: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: WiSysADDRESS OF ORGANIZATION: P.O. Box 7365, Madison, WI 53707-7365**TYPE OF ORGANIZATION**

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE _____)

(CITATION OF STATUTE _____)

- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE _____)

(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

by inventor(s) Alan W. Schwabacher et al.

described in

- ☐ the specification filed herewith.
- ☒ application serial no. 09/535300, filed 3-24-2000.
- ☐ patent no. _____, issued _____.

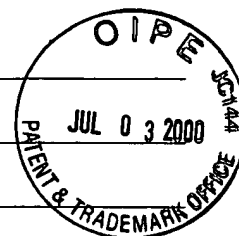
I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME: _____

ADDRESS: _____



☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME: _____

ADDRESS: _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Carl E. Gulbrandsen

TITLE IN ORGANIZATION President

ADDRESS OF PERSON SIGNING WiSys

P.O. Box 7365, Madison, WI 53707-7365

SIGNATURE Carl E. Gulbrandsen Date 6/16/2000

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231

on June 22, 2000
Donna M. Mattasio

ATTORNEY'S DOCKET NUMBER: 2002303-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwabacher *et al.*
Serial Number: 09/535,300
Filed Date: March 24, 2000
Title: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:



APPOINTMENT OF ATTORNEY

The undersigned hereby appoints Brenda Herschbach Jarrell, Registration No. 39,223; Sam Pasternack, Registration No. 29,576; Kevin M. Tormey, Registration No. 41,351; Karoline Shair, Registration No. 44,332; Elizabeth Nugent, Registration No. 43,839, Valarie B. Rosen, Registration No. 45,698 and Stanley C. Mah, Registration No. 46,189 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to Brenda Herschbach Jarrell, Choate, Hall & Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,

Name: Carl E. Gulbrandsen

Title: President

On behalf of WiSys

Dated: June 16, 2000

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Domen M. Grattasio

ATTORNEY DOCKET NO.: 2003118-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwabacher, *et al.*

Serial Number: 09/535,300

Filed: March 24, 2000

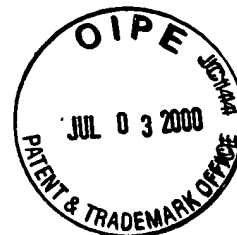
Title: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

Examiner:

Art Unit: 1643

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:



APPOINTMENT OF ASSOCIATE ATTORNEY/AGENT 37 CFR 1.34(b)

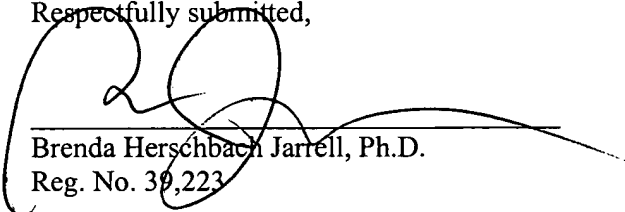
Sir:

For the above-referenced U.S. patent application, please recognize C. Hunter Baker, Patent Reg. No. P-46,533 and Monica R. Gerber, Patent Reg. No. P-46,724 as associate attorney/agent with full power to prosecute this application, to make alterations and amendments therein, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please address all future communications to:

C. Hunter Baker, M.D., Ph.D.
CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109-2891

Respectfully submitted,


Brenda Herschbach Jarrell, Ph.D.
Reg. No. 39,223

CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: June 30, 2000
Exchange.3142474.1

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